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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

| | |
|------------------------|---------------|
| Application Number | 10/500,508 |
| Filing Date | June 29, 2004 |
| First Named Inventor | Ulrich Boke |
| Group Art Unit | 2821 |
| Examiner Name | |
| Attorney Docket Number | DE020006 |

These documents may be relevant in that they have been (check one):

- ☐ considered in drafting the specification of the above referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s)
which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copies required);
- ☒ cited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed; or
- ☐ otherwise a concise explanation of the relevance of each document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is append hereto.

if the date of this IDS may be after the date of a final Office Action - per §1.97(e) check one:

- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago; or
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

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|-------------------|-----------------|-----------------------------------|---------|
| Name (Print Type) | ROBERT J. KRAUS | Registration No. (Attorney/Agent) | 26,358 |
| Signature | | Date | 1-21-05 |

CERTIFICATE OF MAILING OR TRANSMISSION

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| Name (Print Type) | ELISSA DELUCCY | Date | Jan 21, 2005 |
| Signature | | | |



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| Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE | | | | | | | | | | Atty. Docket No. DE020006 | | Serial No. 10/500,508 | |
| INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) | | | | | | | | | | Applicant BOKE ET AL | | | |
| | | | | | | | | | | Filing Date June 29, 2004 | | | Group 2821 |

| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | | |
|-----------------------|----|-----------------|---|---|---|---|---|---|---------|---------|------|-------|-----------|------------------------|
| Ex. Int. | | Document Number | | | | | | | | Date | Name | Class | Sub-class | Filing Date If Approp. |
| | AA | 5 | 6 | 1 | 5 | 0 | 9 | 3 | 3/25/97 | NALBANT | 363 | 25 | | |
| | AB | 5 | 7 | 4 | 4 | 9 | 1 | 5 | 4/28/98 | NILSEN | 315 | 209 | | |
| | AC | | | | | | | | | | | | | |
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| | AF | | | | | | | | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | | | | | |
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| | | Document Number | | | | | | | | | | Date | Country | Class | Sub-class | Trans. | |
| | | 1 | 2 | 6 | 3 | 0 | 2 | 1 | A | 1 | Yes | | | | | No | |
| | AG | 1 | 2 | 6 | 3 | 0 | 2 | 1 | A | 1 | 12/4/02 | EUROPE | H01J | 65.04 | | X | |
| | AH | | | | | | | | | | | | | | | | |
| | AI | | | | | | | | | | | | | | | | |
| | AJ | | | | | | | | | | | | | | | | |
| | AK | | | | | | | | | | | | | | | | |

| OTHER (Including Author, Title, Date, Pertinent Pages, Etc.) | | | |
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| AL | "A 1.2 kW Electronic Ballast for Multiple Lamps, with Dimming Capability and High-Power-Factor", by Gules et al, Applied Power Electronics Conference and Exposition, 1999, pages 720-726 | | |
| AM | "A Comparison of Power Circuit Topologies and Control Techniques for a High Frequency Ballast", by Tadesse et al, Industry Applications Society Annual Meeting, 1993, pages 2341-2347 | | |
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| <table style="width:100%;"> <tr> <td style="width:50%;">Examiner</td> <td style="width:50%;">Date Considered</td> </tr> </table> | | Examiner | Date Considered |
| Examiner | Date Considered | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP Draw line through citation if not in conformance and not considered. Include a copy this form with next communication to applicant. | | | |